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Attorney Docket No.: 021737-000500US

PATENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



On AUGUST 19, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole Wartell

Nicole Wartell

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JEFFRY J. GRAINGER

Application No.: 09/733,616

Filed: December 7, 2000

For: COMPUTER IMPLEMENTED  
METHOD OF GENERATING  
INFORMATION DISCLOSURE  
STATEMENTS

Examiner: Janice A. Mooneyham

Art Unit: 3629

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Chad E. King  
Reg. No. 44,187

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
CEK:nmb

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180**Complete if Known**

Application Number	09/733,616
Filing Date	December 7, 2000
First Named Inventor	Grainger, Jeffrey J.
Examiner Name	Janice A. Mooneyham
Art Unit	3629
Attorney Docket No.	021737-000500US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid
	** =		X		=	
Independent Claims	** =		X		=	
Multiple Dependent			X		=	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

(\$)180

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Chad E. King	Registration No. (Attorney/Agent)	44,187	Telephone	303-571-4000
Signature				Date	August 19, 2004

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PTO/SB/21 (04-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/733,616
Filing Date	December 7, 2000
First Named Inventor	Grainger, Jeffrey J.
Art Unit	3629
Examiner Name	Janice A. Mooneyham
Attorney Docket Number	021737-000500US

Total Number of Pages in This Submission

**ENCLOSURES** (Check all that apply)

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>Return Postcard, copies of thirty-five (35)<br>cited references |
|--|--|--|

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Chad E. King	Reg. No. 44,187
Signature		
Date	8/18/04	

**CERTIFICATE OF TRANSMISSION/MAILING**

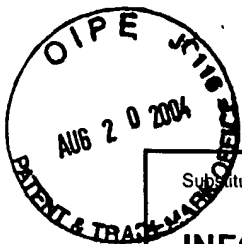
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Typed or printed name  
Nicole Wartell

Signature

Date

8/18/04



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	2	<b>Complete if Known</b>	
				Application Number	09/733,616
				Filing Date	December 7, 2000
				First Named Inventor	Grainger, Jeffry J.
				Art Unit	3629
				Examiner Name	Janice A. Mooneyham
				Attorney Docket Number	021737-000500US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A1	US-5,319,745	06-07-1994	Vinsonneau et al.	
	A2	US-5,485,605	01-16-1996	Johnson et al.	
	A3	US-5,649,117	07-15-1997	Landry	
	A4	US-5,754,840	05-19-1998	Rivette et al.	
	A5	US-5,758,126	05-26-1998	Daniels et al.	
	A6	US-5,832,220	11-03-1998	Johnson et al.	
	A7	US-5,874,953	02-23-1999	Webster et al.	
	A8	US-5,878,230	03-02-1999	Weber et al.	
	A9	US-5,903,881	05-11-1999	Schrader et al.	
	A10	US-5,907,835	05-25-1999	Yokomizo et al.	
	A11	US-5,950,174	09-07-1999	Brendzel	
	A12	US-5,956,034	09-21-1999	Sachs et al.	
	A13	US-5,982,898	11-09-1999	Hsu et al.	
	A14	US-5,987,464	11-16-1999	Schneider	
	A15	US-6,006,242	12-21-1999	Poole et al.	
	A16	US-6,012,044	01-04-2000	Maggioncalda et al.	
	A17	US-6,014,135	01-11-2000	Fernandes	
	A18	US-6,040,920	03-21-2000	Ichiriki	
	A19	US-6,043,816	03-28-2000	Williams et al.	
	A20	US-6,141,007	10-31-2000	Lebling et al.	
	A21	US-6,239,802 B1	05-29-2001	Lahey et al.	
	A22	US-6,363,361 B1	03-26-2002	Lundberg	
	A23	US-2002/0059076 A1	05-16-2002	Grainger et al.	
	A24	US-2002/0065675 A1	05-30-2002	Grainger et al.	
	A25	US-2002/0065676 A1	05-30-2002	Grainger et al.	
	A26	US-2002/0065677 A1	05-30-2002	Grainger et al.	
	A27	US-6,442,549 B1	08-27-2002	Schneider	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	PCT	WO 98/26343	A2	06-18-1998			<input type="checkbox"/>
	B2	PCT	WO 01/24035	A2	04-05-2001			<input type="checkbox"/>
	B3	PCT	WO 02/05150	A2	01-17-2002			<input type="checkbox"/>
	B4	PCT	WO 02/37304	A2	05-10-2002			<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/733,616	
			Filing Date	December 7, 2000	
			First Named Inventor	Grainger, Jeffrey J.	
			Art Unit	3629	
			Examiner Name	Janice A. Mooneyham	
Sheet	2	of	2	Attorney Docket Number	021737-000500US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Computer Patent Annuities, "Renewal Services" Retrieved from the Internet < <a href="http://www.cpajersey.com/cpajersey/patent1.html">http://www.cpajersey.com/cpajersey/patent1.html</a> on 09/04/2002	
	C2	CPI Computer Packages Inc., "CPI News" Fall 2001, 4 pages total.	
	C3	IPSearchEngine.com Retrieved from the Internet: <URL: <a href="http://www.ipsearchengine.com/index.asp?&gt;">http://www.ipsearchengine.com/index.asp?&gt;</a> , 2001, 17 pages total.	
	C4	United States Patent and Trademark Office, U.S. Patent Application Specification Authoring Guide for WordPerfect XML Template, Washington, DC, December 14, 1999, pp. 1-65.	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.